| Reference | Authority | Category | Received |
|-----------|----------------------|---------------------------------------------------------|----------|
| 22018188 | City of York Council | Highways & Transport | 23/06/23 |
| 23000020 | City of York Council | Corporate & Other Services | 06/04/23 |
| 23000216 | City of York Council | Planning & Development | 12/04/23 |
| 23000524 | City of York Council | Housing | 18/04/23 |
| 23000530 | City of York Council | Planning & Development | 18/04/23 |
| 23000736 | City of York Council | Housing | 20/04/23 |
| 23001150 | City of York Council | Education & Childrens Services | 14/08/23 |
| 23001684 | City of York Council | Highways & Transport | 12/05/23 |
| 23002454 | City of York Council | Highways & Transport | 25/05/23 |
| 23002531 | City of York Council | Planning & Development | 26/05/23 |
| 23002741 | City of York Council | Planning & Development | 01/06/23 |
| 23003514 | City of York Council | Corporate & Other Services | 14/06/23 |
| 23003984 | City of York Council | Education & Childrens Services | 13/07/23 |
| 23005149 | City of York Council | Environmental Services & Public Protection & Regulation | 13/07/23 |
| 23005159 | | | 13/07/23 |
| 23005471 | City of York Council | Highways & Transport | 19/07/23 |
| 23005908 | City of York Council | Benefits & Tax | 26/07/23 |
| 23006207 | City of York Council | Benefits & Tax | 31/07/23 |
| 23006625 | City of York Council | Highways & Transport | 07/08/23 |
| 23007320 | City of York Council | Environmental Services & Public Protection & Regulation | 16/08/23 |
| 23007897 | City of York Council | Corporate & Other Services | 18/10/23 |
| 23009888 | City of York Council | Housing | 14/11/23 |
| 23011019 | City of York Council | Adult Care Services | 19/10/23 |
| 23011051 | City of York Council | Planning & Development | 20/10/23 |
| 23011530 | City of York Council | Environmental Services & Public Protection & Regulation | 23/10/23 |
| 23011812 | City of York Council | Adult Care Services | 01/11/23 |
| 23019379 | City of York Council | Planning & Development | 29/02/24 |
| 23011844 | City of York Council | Education & Childrens Services | 01/11/23 |
| 23013197 | City of York Council | Benefits & Tax | 21/11/23 |
| 23013454 | City of York Council | Adult Care Services | 26/01/24 |
| 23013979 | City of York Council | Education & Childrens Services | 13/12/23 |
| 23014405 | City of York Council | Education & Childrens Services | 11/01/24 |

| 23014467 | City of York Council | Planning & Development | 12/12/23 |
|----------|----------------------|---------------------------------------------------------|----------|
| 23016269 | City of York Council | Housing | 29/02/24 |
| 23015981 | City of York Council | Adult Care Services | 17/01/24 |
| 23016035 | City of York Council | Planning & Development | 16/01/24 |
| 23016138 | City of York Council | Corporate & Other Services | 15/02/24 |
| 23016368 | City of York Council | Education & Childrens Services | 06/02/24 |
| 23016432 | City of York Council | Benefits & Tax | 19/01/24 |
| 23016698 | City of York Council | Planning & Development | 25/01/24 |
| 23017119 | City of York Council | Environmental Services & Public Protection & Regulation | 31/01/24 |
| 23017432 | City of York Council | Highways & Transport | 29/02/24 |
| 23017967 | City of York Council | Adult Care Services | 13/02/24 |
| 23018048 | City of York Council | Education & Childrens Services | 15/02/24 |
| 23019133 | City of York Council | Benefits & Tax | 27/02/24 |
| 23019220 | City of York Council | Adult Care Services | 05/03/24 |
| 23019266 | City of York Council | Adult Care Services | 29/02/24 |
| 23019517 | City of York Council | Housing | 04/03/24 |
| 23020075 | City of York Council | Environmental Services & Public Protection & Regulation | 12/03/24 |

| Reference | Authority | Category | Decided | Decision | Decision Reason | Remedy | Service improvement recommendations |
|-----------|-------------------------|------------------------|----------|----------|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 22012570 | City of York Council | Planning & Development | 20/04/23 | Upheld | fault & inj | Apology,Financial redress: Avoidable distress/time and trouble,New appeal/review or reconsidered decision,Provide training and/or guidance,Procedure or policy change/review | The Council will remind officers dealing with complaints of the need to ensure the relevant records are checked so complaint responses do not contain inaccurate information. The Council will put a procedure in place to ensure building control officers keep clear records of visits and telephone conversations. |
| 22015072 | City of York Council | Adult Care Services | 15/11/23 | Upheld | fault & inj | Apology,Financial redress: Avoidable distress/time and trouble,Procedure or policy change/review | The Council will review and amend its policy of not completing Deprivation of Liberty standard authorisations when a person is in hospital. |

| 22015115 | City of York Council | Housing | 18/07/23 | Upheld | fault & inj | Apology,Financial redress: Avoidable distress/time and trouble,Procedure or policy change/review | Review its record keeping procedures to ensure appropriate guidance and reminders are sent to relevant staff to ensure the Council updates information about risks such as domestic abuse and restraining orders on applicants' files. This is to ensure the Council is aware of such risks when properties are offered and avoids offering properties in areas where the applicant would be placed at risk of domestic abuse or other risks. |
|----------|-------------------------|----------------------------|----------|------------------------------------|---------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 22017655 | City of York Council | Adult Care Services | 28/06/23 | Upheld | fault & inj | New appeal/review or reconsidered decision,Apology,Fina ncial redress: Avoidable distress/time and trouble | |
| 22018188 | City of York Council | Highways & Transport | 11/07/23 | Closed after initial enquiries | No worthwhile outcome achievable by investigation | | |
| 23000020 | City of York Council | Corporate & Other Services | 06/04/23 | Referred back for local resolution | Premature Decision - advice given | | |
| 23000216 | City of York Council | Planning & Development | 24/05/23 | Closed after initial enquiries | No worthwhile outcome achievable by investigation | | |
| 23000524 | City of York Council | Housing | 18/04/23 | Advice given | Signpost - go to complaint handling | | |

| 23000530 | City of York Council | Planning & Development | 18/04/23 | Referred back for local resolution | Premature Decision - advice given | | |
|----------|-------------------------|-----------------------------------|----------|------------------------------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 23000736 | City of York Council | Housing | 20/04/23 | Advice given | Signpost - go to complaint handling | | |
| 23001150 | City of York Council | Education & Childrens Services | 27/02/24 | Upheld | fault & inj | Financial redress: Avoidable distress/time and trouble,New appeal/review or reconsidered decision,Provide training and/or guidance | The Council will remind relevant social workers to manage expectations and to be mindful of how they communicate with carers about financial offers which remain subject to management approval. This could be through a briefing paper, team meeting or part of a training session. The Council will remind those involved in statutory children's complaint investigations, and investigations, and investigating officers, of the timescales in the statutory guidance. This could be through a briefing paper, team meeting or part of a training session. |
| 23001684 | City of York Council | Highways & Transport | 05/06/23 | Closed after initial enquiries | Not warranted by alleged fault | | |
| 23002454 | City of York Council | Highways & Transport | 29/06/23 | Closed after initial enquiries | Not warranted by alleged fault | | |
| 23002531 | City of York Council | Planning & Development | 15/06/23 | Closed after initial enquiries | 26B(2) not made in 12 months | | |

| 23002741 | City of York Council | Planning & Development | 04/09/23 | Not Upheld | Other agency better placed | | |
|----------|-------------------------|------------------------------------------------------------------|----------|------------------------------------------|-----------------------------------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 23003514 | City of York Council | Corporate & Other Services | 03/07/23 | Closed after initial enquiries | Not warranted by alleged fault | | |
| 23003984 | City of York Council | Education & Childrens Services | 22/08/23 | Closed after initial enquiries | Not warranted by alleged injustice | | |
| 23005149 | City of York Council | Environmental Services & Public Protection & Regulation | 13/07/23 | Referred back for local resolution | Premature Decision - advice given | | |
| 23005159 | City of York Council | Housing | 13/07/23 | Advice given | Signpost - go to complaint handling | | |
| 23005471 | City of York Council | Highways & Transport | 20/07/23 | Closed after initial enquiries | 26(6)(c) Court remedy | | |
| 23005908 | City of York Council | Benefits & Tax | 05/09/23 | Referred back for local resolution | Premature Decision - referred to Organisation | | |
| 23006207 | City of York Council | Benefits & Tax | 13/10/23 | Closed after initial enquiries | At request of complainant | | |
| 23006625 | City of York Council | Highways & Transport | 05/01/24 | Not Upheld | no fault | | |
| 23007320 | City of York Council | Environmental Services & Public Protection & Regulation | 15/01/24 | Upheld | fault & inj | Apology,Financial redress: Avoidable distress/time and trouble,Provide training and/or guidance | The Council will share the Ombudsman's guidance on effective complaint handling for local authorities with relevant officers and managers. This will help to ensure complaints are investigated and followed up, where necessary, in a robust manner. |

| 23007897 | City of York Council | Corporate & Other Services | 10/11/23 | Closed after initial enquiries | No worthwhile outcome achievable by | | |
|----------|-------------------------|----------------------------|----------|--------------------------------|-------------------------------------|-----------------------|--------------------------|
| | | | | | investigation | | |
| 23011051 | City of York | Planning & | 20/10/23 | Referred back | Premature Decision - | | |
| | Council | Development | | for local | advice given | | |
| | | | | resolution | | | |
| 23011530 | City of York | Environmental | 05/03/24 | Upheld | fault & inj | Apology,Financial | Review the Council's |
| | Council | Services & Public | | | | redress: Avoidable | process for issuing |
| | | Protection & | | | | distress/time and | reminders to the waste |
| | | Regulation | | | | trouble,Provide | crews to identify any |
| | | | | | | services to person | improvements in how it |
| | | | | | | affected,Procedure or | carries out its assisted |
| | | | | | | policy change/review | collection service |
| 23011812 | City of York | Adult Care Services | 04/12/23 | Closed after | Not warranted by | | |
| | Council | | | initial enquiries | alleged injustice | | |
| 23013197 | City of York | Benefits & Tax | 21/11/23 | Referred back | Premature Decision - | | |
| | Council | | | for local | advice given | | |
| | | | | resolution | | | |
| 23013979 | | Education & Childrens | 16/02/24 | Referred back | Premature Decision - | | |
| | Council | Services | | for local | referred to | | |
| | | | | resolution | Organisation | | |
| 23014405 | | Education & Childrens | 23/02/24 | Closed after | Sch 5.1 court | | |
| | Council | Services | | initial enquiries | proceedings | | |
| 23014467 | City of York | Planning & | 30/01/24 | Closed after | S27 not by member of | | |
| | Council | Development | | initial enquiries | the public | | |
| 23015981 | City of York | Adult Care Services | 28/02/24 | Closed after | No worthwhile | | |
| | Council | | | initial enquiries | outcome achievable by | | |
| | | | | | investigation | | |
| 23016035 | City of York | Planning & | 01/03/24 | Closed after | Not warranted by | | |
| | Council | Development | | initial enquiries | alleged injustice | | |
| 23016368 | | Education & Childrens | 19/02/24 | Referred back | Premature Decision - | | |
| | Council | Services | | for local | referred to | | |
| | | | | resolution | Organisation | | |

| 23016432 | - | Benefits & Tax | 02/02/24 | | Premature Decision - | |
|----------|--------------|----------------------|----------|-------------------|--------------------------|--|
| | Council | | | for local | referred to | |
| | | | | resolution | Organisation | |
| 23016698 | City of York | Planning & | 21/02/24 | Referred back | Premature Decision - | |
| | Council | Development | | for local | referred to | |
| | | | | resolution | Organisation | |
| 23017119 | City of York | Environmental | 01/03/24 | Closed after | Not warranted by | |
| | Council | Services & Public | | initial enquiries | alleged fault | |
| | | Protection & | | | | |
| | | Regulation | | | | |
| 23017432 | City of York | Highways & Transport | 27/03/24 | Closed after | Not warranted by | |
| | Council | | | initial enquiries | alleged fault | |
| 23017967 | City of York | Adult Care Services | 13/02/24 | Incomplete/Inva | Insufficient information | |
| | Council | | | lid | to proceed and PA | |
| | | | | | advised | |
| | | | | | | |
| 23019517 | City of York | Housing | 06/03/24 | Referred back | Premature Decision - | |
| | Council | | | for local | referred to | |
| | | | | resolution | Organisation | |

| Reference | Authority | Category | Decided | Remedy | Remedy Target Date | Remedy Achieved Date | Satisfaction with Compliance |
|-----------|-------------------------|---------------------------------------------------------------|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------|-------------------------------|
| 22011700 | City of York Council | Environmental Services & Public Protection & Regulation | 09/03/23 | Apology Financial redress: Avoidable distress/time and trouble Provide training and/or guidance New appeal/review or reconsidered decision | 06/04/23 | 02/04/23 | Remedy complete and satisfied |
| 22012570 | City of York Council | Planning & Development | 19/04/23 | Apology Financial redress: Avoidable distress/time and trouble New appeal/review or reconsidered decision Provide training and/or guidance Procedure or policy change/review | 20/07/23 | 29/06/23 | Remedy complete and satisfied |
| 22015072 | City of York Council | Adult Care Services | 15/11/23 | Apology Financial redress: Avoidable distress/time and trouble Procedure or policy change/review | 15/01/24 | 15/01/24 | Remedy complete and satisfied |
| 22015115 | City of York Council | Housing | 17/07/23 | Apology Financial redress: Avoidable distress/time and trouble Procedure or policy change/review | 18/09/23 | 17/09/23 | Remedy complete and satisfied |
| 22017655 | City of York Council | Adult Care Services | 27/06/23 | New appeal/review or reconsidered decision Apology Financial redress: Avoidable distress/time and trouble | 26/07/23 | 09/08/23 | Remedy completed late |
| 23007320 | City of York Council | Environmental Services & Public Protection & Regulation | 15/01/24 | Apology Financial redress: Avoidable distress/time and trouble Provide training and/or guidance | 12/02/24 | 14/02/24 | Remedy complete and satisfied |

Explanatory notes

Cases received

Cases with a recorded received date between 1 April 2023 and 31 March 2024. Status as of 9 April 2024.

Cases decided

Cases with a recorded decision date between 1 April 2023 and 31 March 2024. Status as of 9 April 2024. Some cases may have been reopened since that date, with either a decision outcome pending or a new decision outcome recorded.

We report our decisions by the following outcomes:

Invalid or incomplete: We were not given enough information to consider the issue.

Advice given: We provided early advice or explained where to go for the right help.

Referred back for local resolution: We found the complaint was brought to us too early because the organisation involved was not given the chance to consider it first.

Closed after initial enquiries: We assessed the complaint but decided against completing an investigation. This might be because the law says we're not allowed to investigate it, or because it would not be an effective use of public funds if we did.

Upheld: We completed an investigation and found evidence of fault, or we found the organisation accepted fault early on.

Not upheld: We completed an investigation but did not find evidence of fault.

Compliance outcomes

Cases with a recorded remedy achieved date between 1 April 2023 and 31 March 2024. Status as of 15 May 2024. The relevant date is the date of compliance with the recommendations (for example, the date on an apology letter) rather than the date the evidence is provided to us. If we were notified after 15 May 2024 of a remedy achieved before 31 March 2024, this will not be included here.

Some cases may be marked as 'Remedy completed late' even when the remedy achieved date is before the remedy target date. This happens because the target date covers all remedies (service improvements and personal remedies). As service improvements often have a longer timescale for completion, we will mark a case as 'completed late' where this longer timescale is met, but the personal remedy was provided late.